**APPENDIX A: PROPOSAL SUBMISSION FORM**

**1. General Information**

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| Name of Respondent |  |
| Name of Parent or Guarantor (if any) |  |
| Principal contact person   Name   Title   Company   Mailing address   Telephone number (office)   Telephone number (cell)   Fax number   E-mail address |  |
| Secondary contact person (if any)   Name   Title   Company   Mailing address   Telephone number (office)   Telephone number (cell)   Fax number   E-mail address |  |
| Legal form of business organization of Respondent (e.g., sole proprietorship, partnership, limited partnership, joint venture, or corporation) |  |
| State(s) of incorporation, residency or organization  Indicate whether Respondent is in good standing in all states in which Respondent is authorized to do business and, if not, which states and the reason it is not. |  |
| If Respondent is a partnership, the names of all general and limited partners.  If Respondent is a limited liability company, the names of all direct owners. |  |
| Description of Respondent and all affiliated entities and joint ventures transacting business in the energy sector. |  |

**2. Financial Information**

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| *Please provide the following for Respondent and/or Parent/Guarantor (as appropriate)* | Respondent | Parent/Guarantor |
| Current debt ratings, including names of rating agencies and dates of ratings. If entity is not rated, please indicate. |  |  |
| Date last fiscal year ended. |  |  |
| Total revenue for the most recent fiscal year. |  |  |
| Total net income for the most recent fiscal year. |  |  |
| Total assets as of the close of the previous fiscal year. |  |  |
| DUNS Number and Federal Tax ID. |  |  |
| Please provide a copy of the most recent financials including balance sheet, income statement and cash flow statement. |  |  |

**3. Defaults and Adverse Situations**

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| Describe, in detail, any situation in which Respondent (either alone or as part of a joint venture), or an affiliate of Respondent, defaulted or was deemed to be in noncompliance of its contractual obligations to deliver energy and/or capacity at wholesale within the past five years.  Explain the situation, its outcome and all other relevant facts associated with the event described.  Identify the name, title and telephone number of the principal manager of the customer/client who asserted the event of default or noncompliance. |  |
| Has Respondent, or any affiliate of Respondent, in the last five years, (a) consented to the appointment of, or was taken in possession by, a receiver, trustee, custodian or liquidator of a substantial part of its assets, (b) filed a bankruptcy petition in any bankruptcy court proceeding, (c) answered, consented or sought relief under any bankruptcy or similar law or failed to obtain a dismissal of an involuntary petition, (d) admitted in writing of its inability to pay its debts when due, (e) made a general assignment for the benefit of creditors, (f) was the subject of an involuntary proceeding seeking to adjudicate that Party bankrupt or insolvent, (g) sought reorganization, arrangement, adjustment, or composition of it or its debt under any law relating to bankruptcy, insolvency or reorganization or relief of debtors. |  |
| Describe any facts presently known to Respondent that might adversely affect its ability to provide the service(s) bid herein as provided for in the Request for Proposals. |  |

**4. NEPOOL and Power Supply Experience**

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| --- | --- |
| Is Respondent a member of NEPOOL? | YES or NO |
| Please list Respondent’s NEPOOL Participant ID. |  |
| If Respondent is NOT a NEPOOL member, list the name and Participant ID of the NEPOOL member who will carry Respondent’s obligations in its settlement account. Please provide a supporting statement and contact information from such member. |  |
| Please describe Respondent’s experience and record of performance in the areas of power marketing, brokering, sales, and/or contracting, for the last five years within NEPOOL and/or the New England region. |  |
| Has Respondent previously provided Default Service to UES?  If response is “NO”, please provide references as requested below.  -------------------------------------------------------  Please provide three references (name, title and contact information) who have contracted with the Respondent for load-following services or who can attest to Respondent’s ability in the areas of power supply portfolio management within the past 2 years. | YES or NO  ----------------------------------------------------------------    1.  2.  3. |

**5. Non Price Terms**

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| Does Respondent extend sufficient financial credit to UES to facilitate the transactions sought via this RFP? | YES or NO |
| Please indicate what, if any, financial security requirements Respondent has of UES in order to secure the extension of credit. Please attach any proposed contractual language. |  |
| Does Respondent agree that the obligations of both parties are subject to and conditioned upon the NHPUC’s approval of the retail rates derived from the transaction sought in this solicitation? | YES or NO |
| Please list all regulatory approvals required before service can commence. |  |
| Is Respondent willing to enter into contractual terms substantially as proposed in the Power Supply Agreement contained in Appendix B? | YES or NO |
| Provide any proposed modifications to the Power Supply Agreement provided in Appendix B or to the PSA Amendment in Appendix B1.  Please briefly list issues here and provide proposed language changes in the document using the “track changes” feature of Microsoft Word, or other reviewable revision marking process. |  |